



ISO 20022 Certified Lead Auditor (CLA)

7-Day Training Table of Contents

Day 1: Introduction to ISO 20022 and Financial Messaging Standards

- Evolution of Financial Messaging
 - ISO 20022 Framework and Objectives
 - Business Processes, Message Models, and Data Dictionary
 - ISO 20022 Governance and Registration
 - Comparison of ISO 20022 with Legacy MT Messaging Standards
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Day 2: ISO 20022 Messages and Implementation

- ISO 20022 Message Structure
 - Message Categories (pacs, pain, camt, etc.)
 - Message Usage Guidelines
 - Regulatory and Market Practice Requirements
 - Data Quality and Interoperability
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Day 3: ISO 20022 Implementation and Compliance

- ISO 20022 Adoption Drivers
 - Migration Planning and Implementation Strategy
 - Data Mapping and Translation
 - Compliance Requirements
 - Implementation Risks and Challenges
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Day 4: Auditing Principles and Lead Auditor Responsibilities

- Audit Concepts, Types, and Lifecycle
- ISO 19011 Audit Guidelines
- Roles and Responsibilities of a Lead Auditor
- Audit Ethics, Independence, and Professional Conduct
- Managing and Leading an Audit Team

Day 5: Planning and Conducting ISO 20022 Audits

- Audit Program Development
 - Audit Planning
 - Defining Audit Scope, Objectives, and Criteria
 - Audit Techniques and Evidence Collection
 - Assessing Controls, Processes, and Documentation
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Day 6: Audit Findings, Reporting, and Corrective Actions

- Identifying Nonconformities and Observations
 - Root Cause Analysis
 - Risk-Based Audit Findings
 - Audit Reporting and Communication
 - Corrective and Preventive Actions (CAPA)
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Day 7: Continuous Improvement and Certification Preparation

- Follow-up Audits
- Continuous Improvement Practices
- Aligning ISO 20022 Audits with Organizational Strategy
- Audit Performance Evaluation
- Certification Examination Preparation
- Mock Examination and Course Review