

Fiduciary Duty – Table of Content

Duration - 2 Days

1. Fiduciary Duty

- Overview of Fiduciary Duty.
- Who is a fiduciary?
- Why fiduciary responsibility matters
- Ordinary responsibility and Fiduciary responsibility

2. Fiduciary Relationships

- Trustee/Beneficiary
- Guardian/Ward
- Agent/Principal
- Lawyer/Client
- Financial Adviser/Client

3. Fiduciary Duties

- Duty of loyalty
- Duty of care
- Duty of good faith
- Duty of confidentiality
- Duty of Prudence
- Duty to disclose relevant information
- Conflict of Interest

4. The Fiduciary Method

- Boundaries of Role
- Authority and Scope
- Client & Asset Stewardship
- Practice Operations & Case Management
- Compliance and Risk
- Growth and Professional Identity
- Practical Application Scenarios

5. Fiduciary Duty in Business and Governance

- Responsibilities of directors and senior officers
- Board oversight and accountability
- Acting in the best interest of the organization
- Decision-making, documentation, and approvals
- Consequences of poor governance

6. Fiduciary Duty in Finance and Asset Management

- Managing money or assets
- Client funds and trust accounts
- Investment decisions and suitability
- Transparency, reporting, and record keeping
- Protecting beneficiaries, clients, or stakeholders

7. Breaches in Fiduciary Duty

- Breach Overview
- Consequences of a Fiduciary Breach
- Elements of a Fiduciary Breach Claim
- Breach Scenarios

8. Ethical Decision-Making

- Identify ethical risks
- Asking the right questions
- Balancing business judgment and fiduciary responsibility
- Escalation and whistleblowing channels
- Building a culture of accountability